

:HVW 9DOOH\ 0LVVLRQ &  
&ROOHJH 'LVWULFW

. (< 32/, &<  
\$1' 352&('85(6

,Q RUGHU WR PDLQWDLQ D VHFXUH OHDUQL  
HQYLURQPHQW DW :HVW 9DOOH\ DQG 0LVVLRQ  
DXWKRULJHG GLVWULFW SHUVRQQHO ZLOO  
DFFRUGDQFH ZLWK WKH SROLF\ DQG SURFH

, 32/, &<

'LVWULFW NH\V DUH LVVXHG WR HWSORWH SHUVRQQHOV DUH  
DXWKRULJHG WR UHFHLYH DQG XVH 'LVWULFW NH\V DFFRU  
SROLF\PSOR\HHV DUH UHVSQRVLEOH IRU DQ\ DQG DOO NH\V  
(PSOR\HHV VKDOO QRW ORDQ RU GXSOLFDWH 'LVWULFW M  
UHDVRQV WKH WUDQVIHU RU UH DVVLJQPHQW RI DQ\ 'LV  
LVWULFWO\ SURKLELWHG

&DOLIRUQLD 3HQDO &RGH 6HFWLRQ

<sup>3</sup>\$Q\ SHUVRQ ZKR NQRZLQJO\ PDNHV GXSOLFDWHV F  
XVHV RU DWWHPSWV WR PDNH GXSOLFDWH FDXVH V  
KLV SRVVHVLRQ DQ\ NH\ WR EXLOGLQJV RU RWKHU D  
FRQWUROOHG E\ WKH 6WDWH RI &DOLIRUQLD DQ\ VW  
D FRXQW\ FLW\ RU DQ\ SXEOLF VFKRRO RU FRPPXQLV  
DXWKRULJDWLRQ IURP WKH SHUVRQ LQ FKDUJH RI VXI  
GHVLJQDWHG UHSUHVHQWDWLYH DQG ZLWK NQRZOHG  
DXWKRULJDWLRQ\ RI D PLVGHPHDQRU

\$OO NH\V LVVXHG E\ WKH :HVW 9DOOH\ 0LVVLRQ &RPPXQL  
SURSHUW\ RI :HVW 9DOOH\ 0LVVLRQ &RPPXQLW\ &ROOHJH  
WR UHFDOO DW DQ\ WLPH DV GHHPHG QHFHVVDU\ E\ WKH  
8SRQV\UHDWLRQ IURP WKH 'LVWULFW DOO NH\V PXVW EH U  
)DFLOLWLHV 2IILFH (PSOR\HHV DUH QRW SHUPLWWHG WR  
ZLOO EH JUDQWHG XQOHVV GHVFULEHG LQ WKH SURFHGX  
SRPSWO\ WXUQH G LQ WR WKH 'LVWULFW 3ROLFH 'HSDUWP

1RW6PDUW &DUGV DUH EHLQJ LQWHJUDWHG WKURXJKRXW  
WKH WHUP 6PDUW &DUG DQG .H\ ZLOO EH LQWHUFKDQJHD

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,Q FRPSOLDQFH ZLWK WKH %RDUG RI 7UXVWHHV SROLF\  
GHYHORSHG WR HQVXUH WKH VHFXULW\ RI 'LVWULFW SUR

\$ 1HZ .H\ ,VVXDQFH &DUG &OHDUDQFH

.H\V ~~DQG~~ NV DUH LVVXH G RU FKDQJHG E\ WKH 'LVWU  
2IILFH ORFDWHG LQ WKH 'LVWULFW 2IILFHV DW WKH

.H\ UHTXHVWV DQG 6PDUW ~~OR & DEB WONDIBYED~~ HV ZEDL  
RU ZULWWHQ IRUP

\$OO .H\ 5HTXHVWV DQG FDUG FOHDUDQFHV PXVW E  
6HQLRU 2IILFH &RRUGLQDW\ ~~RU V'LJN~~ ~~OR & WDDWRU~~  
\$OO UHTXHVWV PXVW EH VXEPLWWHG RQOLQH XVLQ  
6\ ~~VH~~RU GHWDLOHG LQVWUXFWLRQV RQ VXEPLWWL  
'LVWULFW )DFLOLWLHV ZHEVLWH

V

3ULRU WR UHFHLYLQJ WKH NH\ WKH HPSOR\HH ZLO  
D.H\ &RQWURO ,GHQWLILFDWLRQ &DUG FRQVLVWLQ.  
UFRUG

- 1DPH RI SHUVRQ LVVXHG NH\
- (PSOR\HH &ROOHJH , ' 1XPEHU
- 'LYLVLRQ 'LVFLSOLQH
- &HUWLILFDWHG &ODVVLILHG HPSOR\HH
- )XOO 7LPH7RPH3DHWVPDQHQW
- 7\SH RI NH\ V LVVXHG
- \$PRXQW RI NH\ V LVVXHG
- .H\ QXPEHU
- 'DWH RI LVVXDQFH
- 'DWH .H\ V UHWXUQH

%\ VLJQLQJ WKH NH\ FDUG WKH UHFLSLHQW DJUHH  
3ROLF\ DQG DJUHHWKWRVGRMUDQWFRDOGLWLRQV OL  
FRPSOHWHG WKH VLJQH .H\ &RQWURO ,GHQWLILF  
'LVVXHG)FDV LQWLHV 2IILFH IRU LQYHQWRU\ SHULRGLF  
LGHQWLILR Q SUKHUFRUG V

% 5HSODFHPHQW 'XSOLFDWH RI 2ULJLQDO .H\

/RVW 6WROHQ .H\V  
\$GPLQLVWUDWRUV IDFXOW\ DQG VWDII ZLOO QRW E  
DXWKRUL]HG NH\ V EXW ZLOO EH UHTXLUHG WR SD  
KDYH EHHQ ORVW RU VWROHQ

,ID NH\ KDV EHHQ OLPVSMURDUVWIRORWLVKWH GLOLJHC  
IROORZHG LQ VHDUEKILQWHRDQWZHUNHTXVW LV VXE  
(PSOR\HHV ZKR KDYL ORVW WKHLU NH\ V VKRXOG L  
WKRVV WR WKH &DPSXV 3ROLFH 'HSDUWPHQW ORFD  
7KH\$ROLFH GHSDUWPHQW ZLOO SURY\$GQHZ FDVH QX  
NH\ UHTXHVV FRQWDLQLQJ WKH SROLFH FDVH QXPE  
'HSDUWPHQW &KDLU 6HQLRU 2IILFH &RRUGLQDWRU I  
WKH ROLNQU:GHU 6\VWHP

.H\ )HHV

\$ SHU\UHSODFHPHQW IHH ZLOO EH DVVHVHVG WR V  
VKRXOHEH ORVW RU VWROHQ ,I WKH ORVW NH\ V DUH  
NH\ V KDYL EHHQ LVVXHG H\FHVV NH\ V VKDOO EH UH  
2IILFH 7KHUH ZLOO EH QR UHIXQG RI UHSODFHPHQW  
UHSRUWHG ORVW RU VWROHQ DQG DUH ODWHU UHFRY

/RVW 6WROHQ , ' 6PDUW &DUGV

(PSOR\HHV DUH H[SHFWHG, WFDUGDNHVJRXHG FDRUHWRIH  
,IFDUGV DUH OSOMD RHU FVQVODFQ WKH 'LVWULFW 3RC

( 6PDUW &DUG &OHDUDQFH

'LYLVLRQ 'HSDUWPHQW &KDLUV ~~RW~~ ~~HMQR~~ ~~RL~~ ~~OL~~ ~~HR~~ &  
VXEPLWWLQJ FDUG FOHDUDQFH UHTXHVWV YLD WKH  
6\VWHP

\$OO &OHDUDQFHV ZLOO UHPDLQ DFWLYH XQWLO HL

- \$ :RUN 2UGHU LV VXEPLWWHG UHTXHVWLQJ WKH
- 6HSDUDWLRQ RI WKH HPSOR\HH IURP WKH 'LVW  
6H~~H~~FWLRQ ' 5HWXUQ RI .H\V DERYH

) +LJK 6HFXULW\ .H\V

\$OO HPSOR\HHV DUH WR EH L\W KH